



In the United States Patent and Trademark Office

Mailed 2000 August 4

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Box Missing Parts

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

This letter is my second response to your notice to file missing parts dated 2/8/00, regarding application 09/470,566, entitled General Purpose Compression for Video Images (RHN).

My first response to this same notice included check #1014 for \$65 to cover the SURCHARGE set forth in 37 CFR 1.16(e) for a small entity. The surcharge was submitted 4/8/00. Receipt is evidenced by the attached copy of both sides of the cancelled check.

Regarding item #1, copies of small entity statements are attached.

Regarding item #2, check #1007 for \$458 was received 12/22/99 (see the attached copy of receipt post card). This amount should have covered the basic filing fee of \$380 and the \$39 for 1 independent claim over 3. If you still feel the fees paid are insufficient, please call me so that the fees can be paid in a timely manner.

Regarding item #5, Richard S. Neale has executed the attached "Combined Declaration for Patent Application and Power of Attorney".

The following are enclosed:

- ✦ Copy of cancelled check #1014 for \$65
- ✦ Copies of small entity statements filed earlier (one for each inventor)
- ✦ Copy of return receipt post card showing original fee of \$458 received 12/22/99
- ✦ Copy of declaration by Richard S. Neale
- ✦ Petition for extension of time
- ✦ Check # 1015 for \$925.00 for 37 CFR 1.17(a)(5) petition fee for a small entity.

Very respectfully,

Kendyl A. Roman

For himself and Cyrus J Hoomani and Richard S. Neale under power of attorney

730 Bantry Court, Sunnyvale, CA 94087-3402

Address (Send Correspondence Here)

(408) 739-9517

Phone

Express Mail Label ( EK774863285US ) ; Date of Deposit 2000 August 4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/470,566 12/22/99 ROMAN

K

KENDYL A ROMAN  
730 BANTRY COURT  
SUNNYVALE CA 94087-3402



2713

DATE MAILED:

02/08/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 588.

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☒ insufficient.
- Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:
- \$ 78 for 1 total claims over 20.
  - \$ 78 for 1 independent claims over 3.
  - \$        for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
- ☐ is missing or unsigned.
  - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
- 3rd Richard S Neal
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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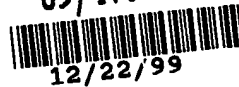
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The following received today:

Patent Application for Kendyl A. Román, Cyrus J. Hoomani, and Richard S. Neale for "GENERAL PURPOSE COMPRESSION FOR VIDEO IMAGES (RHN) , " consisting of 27 sheets specifications, claims, and abstract, declaration and power of attorney signed 1999 December 18, 14 sheets formal drawing, fee transmittal form, and check #1007 for \$458.00.

jc525 U.S. PTO

09/470566



12/22/99



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